

Docket No.: 10884-00018-US

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

James J. Modliszewski et al.

Confirmation No.: 6666

Application No.: 10/824793

Art Unit: N/A

Filed: April 14, 2004

Examiner: Not Yet Assigned

For: DELIVERY SYSTEM OF HOMOGENEOUS,

THERMOREVERSIBLE GEL FILM

CONTAINING KAPPA-2 CARRAGEENAN

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

## PRELIMINARY AMENDMENT

Prior to examination on the merits, please amend the above-identified U.S. patent application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 6 of this paper.

08/03/2004 HMARZI1 00000024 10824793

01 FC:1202

54.00 OP

PTO/SB/17 (10-03)

Approved for use through 7/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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FEE TRANSMITTAL
for FY 2004

Effective 10/01/2003 Patent fees are subject to annual revision.

Complete if Known

Application Number 10/824793-Conf. #6666

Filing Date April 14, 2004

First Named Inventor James J. Modliszewski

Examiner Name Not Yet Assigned

Art Unit N/A

Applicant claims small entity status. See 37 CFR 1.27 10884-00018-US TOTAL AMOUNT OF PAYMENT Attorney Docket No. METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) 3. ADDITIONAL FEES Х Check Other None Order Deposit Account: Large Entity Small Entity Deposit 03-2775 Fee Account Fee Description Code (\$) Code (\$) Fee Paid Deposit 2051 65 Surcharge - late filing fee or oath 1051 130 Account Connolly Bove Lodge & Hutz LLP Name Surcharge - late provisional filing fee or cover 1052 50 2052 25 The Director is authorized to: (check all that apply) X Credit any overpayments 1053 Charge fee(s) indicated below 1053 130 130 Non-English specification 1812 2,520 For filing a request for ex parte reexamination X Charge any additional fee(s) or any underpayment of fee(s) 1812 2.520 Requesting publication of SIR prior to 1804 9201 1804 920 Charge fee(s) indicated below, except for the filing fee Requesting publication of SIR after to the above-identified deposit account. 1805 1.840 1805 Examiner action FEE CALCULATION 1251 110 2251 Extension for reply within first month 1. BASIC FILING FEE 1252 420 2252 210 Extension for reply within second month Large Entity Small Entity 1253 950 2253 475 Extension for reply within third month Fee Fee Fee Paid Fee Description 1254 1,480 2254 Extension for reply within fourth month Code (\$) 1001 770 2001 385 Utility filing fee 1255 2,010 2255 1,005 Extension for repty within fifth month 2002 170 Design filing fee 1401 330 2401 165 Notice of Appeal 1002 340 1003 530 2003 265 Plant filing fee 1402 330 2402 165 Filing a brief in support of an appeal 1004 770 2004 385 Reissue filing fee 1403 290 2403 145 Request for oral hearing 1005 160 2005 80 Provisional filing fee 1451 1,510 1451 1.510 Petition to institute a public use proceeding 1452 2452 Petition to revive - unavoidable 110 55 SUBTOTAL (1) (\$) 0.00 1453 2453 1.330 665 Petition to revive - unintentional 1501 1,330 2501 665 Utility issue fee (or reissue) 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1502 480 2502 Design issue fee Fee Paid Claims below 54.00 640 2503 Total Claims 44 -41\*\* = 3 18.00 1503 320 Plant issue fee Independent 1460 130 1460 130 Petitions to the Commissioner -3\*\* = 0 0.00 0.00 1807 Processing fee under 37 CFR 1.17(q) 1807 50 50 Multiple Dependent 1806 180 1806 Submission of Information Disclosure Stmt Large Entity Small Entity Recording each patent assignment per 8021 40 8021 Fee Description Code (\$) Code (2) property (times number of properties) Filing a submission after final rejection 1202 2202 18 9 Claims in excess of 20 770 2809 1809 385 (37 ČFR 1.129(a)) 1201 86 2201 43 Independent claims in excess of 3 For each additional invention to be 1810 770 2810 385 2203 Multiple dependent claim, if not paid 1203 290 examined (37CFR 1.129(b)) Request for Continued Examination (RCE) 1204 86 2204 \*\* Reissue independent claims 1801 770 2801 385 over original patent Request for expedited examination 900 1802 1802 Reissue claims in excess of 20 of a design application 1205 18 2205 and over original patent Other fee (specify) SUBTOTAL (2) (\$) \*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00 \*\*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY				(Complete	(Complete (if applicable))	
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Application No. (if known): 10/824793

Attorney Docket No.: 10884-00018-US

## Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

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on July 28, 2004 Date

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Amy L. Hamm

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Preliminary Amendment; Fee Transmittal Sheet; Check for \$54.00; and Return Postcard.

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